

## ANNEX C

others might suggest that they are not flexible enough. Subjectivity is unavoidable and this provides sufficient flexibility to cope with apparent anomalies. In the final analysis exports are not conducted in secret thus they will eventually be subject to scrutiny both political and public.

### Documentation

Canada and its major industrialized trading partners have generally harmonized their export control systems in order to prevent diversions or trans-shipments of controlled commodities to unauthorized end-uses or unauthorized destinations. The members of Wassenaar all subscribe to a minimum set of controls. Many states outside of Wassenaar also have a variety of similar controls. Among the tools used by many advanced states are a matrix of end use controls to assist in achieving a high level of confidence on an exports final destination. These include:

**International Import Certificates (IIC).** These are intended to ensure the receiving government is aware of the proposed shipment and can ensure that it is not diverted en route or on arrival. The same procedure may apply to imports into Canada.

**Delivery Verification Certificates (DV).** This certifies (not verifies thought that is implicit) that the goods have arrived in the importing country in accordance with the terms of both the Canadian export permit and the foreign issued IIC.<sup>89</sup>

**End-Use Certificates (EUC)/Import Licences (IL).** The Canadian exporter is required to get the importer to obtain an EUC or IL from the designated authorities and then forward it to the DFAIT Export Controls Division.

**End-Use Statements (EUS).** In lieu of an EUC or IL where these are not issued by governments. The statement must be an "original letterhead" and must identify the end user and purpose and use of the products to be imported; correspond to the commodity description; identify whether for civilian or military use; and declare that the goods will not be diverted or re-exported.

---

<sup>89</sup> For both IICs and DVs, it presupposes that the signing authority for the government in question is legitimate (not paid off or forged) and the government in question cares about and has the ability to track the shipment.