

September 6, 1992

**ANNEX VII
PART A
Schedule of the United States**

SECTOR: Financial Services

SUB-SECTOR: Banking and Securities

TYPE OF RESERVATION: Article 1409 (MFN Treatment)

MEASURE: Special reserve bank account

LEVEL OF GOVERNMENT: Federal

LEGAL CITATION: Rule 15c3-3 of the Securities Exchange Act of 1934,
17 C.F.R. § 240.15c3-3.

DESCRIPTION: Broker-dealers that maintain their principal place of
business in Canada may maintain their reserve
requirement at a Canadian bank subject to supervision
by an authority of Canada; however, other foreign
broker-dealers not in the U.S. or Canada must
maintain reserves in the U.S.

DURATION: Indeterminate