

*Attachment 3***PHASES AND ELEMENTS OF A CONFIDENCE BUILDING PROGRAM****A. REVIEW AND EVALUATION OF ELEMENTS OF CONFORMITY ASSESSMENT (EXCHANGE OF DOCUMENTATION)**

1. Legislative and Regulatory Requirements and Scope
 - Empowering legislation and regulations including authority to enforce laws and regulations, powers given to evaluators and auditors, authority to remove violative products from the market, etc.
 - Suitable controls on conflict of interest
2. Regulatory Directives and Policies
 - Procedures for determining competency of evaluators/auditors
 - Enforcement policies/guidelines/procedures
 - Codes of conduct/ethics
 - Training/certification policies/guidelines
 - Alert/crisis management policies/procedures/guidelines
 - Organizational structure, including roles, responsibilities and reporting relationships
3. Quality Audit Management, Methodology and Practices
 - Scope/details of operating standards, etc.
 - Auditor qualifications, numbers, training, quality assurance, contracting, etc.
4. Scientific Technical Evaluation Methodology and Practices
 - Scope/details of operating standards, etc.
 - Evaluator qualifications, numbers, training, quality assurance, contracting, etc.