

POSSIBLE INSTANCES OF DISCREPANCY BETWEEN THE ACTUAL SITUATION
 AND EARLIER DECLARATIONS CONCERNING A FACILITY

(Studied from a theoretical standpoint)

Facts that may be uncovered during an inspection	Procedures whereby the discrepancy was revealed	Inspection team's findings and action	Possible explanations by the facility's management	Inspection team's proposals
1	2	3	4	5
1. Production of schedule [2] chemicals	1. Analysis of samples downstream of the reaction vessel and the separator 2. Analysis of the accounting records for feedstocks and end-products	Breach of article VI of the convention as regards declaration of the production of schedule [2] chemicals. Immediate notification to the Technical Secretariat	1. Emergence of users not provided for in the production plan 2. Presentation to the inspectors of the orders from the unplanned-for users and of the accounting records concerning quantity, users and permitted purposes of use	Conduct "ad hoc" inspections at enterprises using these chemicals and establish an appropriate monitoring system on the initiative of an international organ
2. The quantity of schedule [2] chemicals produced is inconsistent with the declared needs for permitted uses	1. Analysis of the readings of the instruments monitoring flows of feedstocks and end-products 2. Analysis of the accounting records for feedstocks and end-products 3. Analysis of the order and delivery documents	Breach of article VI of the convention as regards advance notifications. Immediate notification to the Technical Secretariat	1. Emergence of an unplanned-for demand for permitted purposes 2. Presentation of the documents relating to users' orders for additional deliveries of chemicals in connection with the unplanned-for increase in demand	Conduct inspections at user enterprises, with precise determination of the balance of production and use of schedule [2] chemicals for permitted purposes
3. The process path is less than optimal for the production of the declared schedule [2] chemicals, includes superfluous equipment	On-site analysis of the production technology and process path	The facility constitutes a risk in view of the possibility of use of its equipment for purposes prohibited by the convention. The inspection team substantiates this finding by specific examples Transmission to the Technical Secretariat of the findings and evidence from the inspection and of the explanations by the facility's representatives	Substantiation of the need for all the existing items of equipment for the production process in question	Examine the need for change in the arrangements for monitoring the facility and for corresponding amendments in the agreement concerning the facility