- 4. Notwithstanding the provisions of Article IX and Paragraph 2 above, and without limiting the applicability of Paragraph 1 above, a Contracting Party may prevent or limit transfers by a financial institution to, or for the benefit of, an affiliate of or person related to such institution, through the equitable, non-discriminatory and good faith application of measures relating to maintenance of the safety, soundness, integrity or financial responsibility of financial institutions.
- 5. For the purposes of this Agreement, "financial institution" means any financial intermediary or other enterprise that is authorized to do business and regulated or supervised as a financial institution under the law of the Contracting Party in whose territory it is located;

VI. Exclusions from Dispute Settlement:

- Decisions of a Contracting Party as to whether or not to permit
 establishment of a new business enterprise, or acquisition of an existing
 business enterprise or a share of such enterprise, by investors or
 prospective investors of the other Contracting Party shall not be subject
 to dispute settlement under Article XII of this Agreement.
- Further to Paragraph 1, decisions by a Contracting Party pursuant to a
 pre-existing non-conforming measure described in Article II(1)(b) of
 this Annex as to whether or not to permit an acquisition shall, in
 addition, not be subject to dispute settlement under Article XIII of this
 Agreement.