

AUDIT, EVALUATION AND INSPECTION

Internal Audits

During 2012-2013, the Internal Audit division of the Office of Audit, Evaluation and Inspection completed three audits linked to IPB operations:

- Diplomatic Mail Service:**
 An internal audit of diplomatic mail services was completed and confirmed that the controls in place adequately protect the integrity of the service.
- Information Technology Security:**
 Assessed the existence, design and effectiveness of information-security controls. The completion of the Management Action Plan was delayed by the assignment of audit recommendations to DFATD and the newly created Shared Services Canada.
- Records Management:**
 Examined the stewardship of information. A key finding was that the Chief Information Officer must have clear functional authority over the policies, procedures and processes that maintain and protect information assets to ensure consistency across the department.

These audits provided valuable recommendations and brought about comprehensive management action plans. The implementation of these action plans is tracked through a formal follow-up process and reported on regularly to the Departmental Audit Committee.

All reports issued by the Internal Audit Division are published on the Internet. Please note that the Audit of IT Security is not published, due the sensitive nature of its findings.

In addition, Internal Audit supported IPB and DFATD as a whole by reviewing the Department's implementation of the Budget 2012 Economic Action Plan. Auditors recommended improvements to governance, control, and status reports to ensure the achievement and sustainability of commitments made.

Furthermore, the 2011-2012 Audit of Real Property continues to be monitored as audit recommendations

are implemented. The updates provided by IPB indicate that the Physical Resources Bureau understands the need for fundamental reform and renewal.

Mission Inspections

During 2012-2013, the Office of the Inspector General visited 15 missions (see figure 20), compared with 18 the previous year. These inspections aim to provide DFATD senior management with an independent and objective review of performance at the activity and program levels. Inspectors examine leadership, management practices and compliance with policies and regulations.

Recommendations in the inspection reports contribute to the effective management of missions and ensure appropriate support from headquarters. More in-depth information on mission inspections is posted to the website of the Office of the Inspector General.

FIGURE 20
Inspections in 2012-2013

Missions	
Manila	April 2012
Wellington	April 2012
Auckland	April 2012
Berlin	June 2012
Dusseldorf	June 2012
Munich	June 2012
Accra	November 2012
Dar es Salaam	November-December 2012
Lusaka	December 2012
Yaoundé	December 2012
La Paz	January 2013
Lima	January 2013
Buenos Aires	February 2013
Montevideo	February 2013
Dubai	March 2013