

ATTACHMENT III

PHASES AND ELEMENTS OF A CONFIDENCE BUILDING PROGRAMME

- A. Review and Evaluation of Elements of Conformity Assessment (exchange of documentation).
1. Legislative and Regulatory Requirements and Scope
- Empowering legislation and regulations including authority to enforce laws and regulations, powers given to evaluators and auditors, authority to remove violative products from the market, etc.
 - Suitable controls on conflict of interest
2. Regulatory Directives and Policies
- Procedures for determining competency of evaluators/auditors
 - Enforcement policies/guidelines/procedures
 - Codes of conduct/ethics
 - Training/certification policies/guidelines
 - Alert/crisis management policies/procedures/guidelines
 - Organizational structure, including roles, responsibilities and reporting relationships