Group 1: Dual-Use List - Electronics

1031.1.a.9. con't.

- b. A typical "basic gate propagation delay time" of less than 0.1 ns; or
- c. An operating frequency exceeding 3 GHz;
- 10. Digital integrated circuits, other than those described in 1031.1.a.3. to 1031.1.a.9. or 1031.1.a.11., based upon any compound semiconductor and having any of the following:
 - a. An equivalent gate count of more than 3000 (2 input gates); or
 - b. A toggle frequency exceeding 1.2 GHz;
- 11. Fast Fourier Transform (FFT) processors having a rated execution time for an N-point complex FFT of less than (N log₂ N)/20,480 ms, where N is the number of points; Technical Note
 - When N is equal to 1,024 points, the formula in 1031.1.a.11. gives an execution time of 500 $\mu s.$
- 1. b. Microwave or millimetre wave components, as follows:
 - 1. Electronic vacuum tubes and cathodes, as follows: *Note 1:*

1031.1.b.1. does not control tubes designed or rated for operation in any frequency band which meets all of the following characteristics:

- a. Does not exceed 31 GHz; and
- b. Is "allocated by the ITU" for radio-communications services, but not for radio-determination.

Note 2

1031.1.b.1. does not control non-"space-qualified" tubes which meet all of the following characteristics:

- a. An average output power equal to or less than 50 W; and
- b. Designed or rated for operation in any frequency band
 - which meets all of the following characteristics: 1. Exceeds 31 GHz but does not exceed 43.5 GHz; and
 - Exceeds 31 GHz but does not exceed 40.0 GHz, and
 Is "allocated by the ITU" for radio-communications
 - services, but not for radio-determination;
- a) Travelling wave tubes, pulsed or continuous wave, as follows:
 - 1. Operating at frequencies higher than 31 GHz;
 - 2. Having a cathode heater element with a turn on time to rated RF power of less than 3 seconds;
 - Coupled cavity tubes, or derivatives thereof, with a "fractional bandwidth" of more than 7% or a peak power exceeding 2.5 kW;
 - 4. Helix tubes, or derivatives thereof, with any of the following characteristics:
 - a. An "instantaneous bandwidth" of more than one octave, and average power (expressed in kW) times frequency (expressed in GHz) of more than 0.5;
 - b. An "instantaneous bandwidth" of one octave or less, and average power (expressed in kW) times frequency (expressed in GHz) of more than 1; or
 - c. Being "space qualified";
- b. Crossed-field amplifier tubes with a gain of more than 17 dB;
- c. Impregnated cathodes designed for electronic tubes producing a continuous emission current density at rated operating conditions exceeding 5 A/cm²;
- 2. Microwave integrated circuits or modules having all of the following:
 - a. Containing "monolithic integrated circuits" having one or more active circuit elements; **and**

b. Operating at frequencies exceeding 3 GHz; Note 1:

1031.1.b.2. does not control circuits or modules for equipment designed or rated for operation in any frequency band which meets all of the following characteristics:

- a. Does not exceed 31 GHz; and
- b. Is "allocated by the ITU" for radio-communications services, but not for radio-determination.

Note 2:

1031.b.2. does not control broadcast satellite equipment designed or rated to operate in the frequency range of 40.5 to 42.5 GHz.

- Microwave transistors rated for operation at frequencies exceeding 31 GHz;
- 4. Microwave solid state amplifiers, having any of the following:
 - a. Operating frequencies exceeding 10.5 GHz and an "instantaneous bandwidth" of more than half an octave; **or**
 - b. Operating frequencies exceeding 31 GHz;
- 5. Electronically or magnetically tunable band-pass or bandstop filters having more than 5 tunable resonators capable of tuning across a 1.5:1 frequency band (f $_{max}$ /f $_{min}$) in less than 10 µs having any of the following:
 - a. A band-pass bandwidth of more than 0.5% of centre frequency; **or**
 - b. A band-stop bandwidth of less than 0.5% of centre frequency;
- Microwave assemblies capable of operating at frequencies exceeding 31 GHz;
- 7. Mixers and converters designed to extend the frequency range of equipment described in 1031.2.c., 1031.2.e. or 1031.2.f. beyond the limits stated therein;
- 8. Microwave power amplifiers containing tubes controlled by 1031.1.b. and having all of the following:
 - a. Operating frequencies above 3 GHz;
 - b. An average output power density exceeding 80 W/kg; and
 - c. A volume of less than 400 cm³;

Note:

1031.1.b.8. does not control equipment designed or rated for operation in any frequency band which is "allocated by the ITU" for radio-communications services, but not for radio-determination.

- 1. c. Acoustic wave devices, as follows, and specially designed components therefore:
 - Surface acoustic wave and surface skimming (shallow bulk) acoustic wave devices (i.e., "signal processing" devices employing elastic waves in materials), having any of the following:
 - a. A carrier frequency exceeding 2.5 GHz;
 - b. A carrier frequency exceeding 1 GHz but not exceeding 2.5 GHz, and having any of the following:
 - 1. A frequency side-lobe rejection exceeding 55 dB;
 - A product of the maximum delay time and the bandwidth (time in µs and bandwidth in MHz) of more than 100;
 - 3. A bandwidth greater than 250 MHz; or
 - 4. A dispersive delay of more than 10 µs; or
 - c) A carrier frequency 1 GHz or less, having any of the following:
 - A product of the maximum delay time and the bandwidth (time in µs and bandwidth in MHz) of more than 100;