

formal reports. In each case, all five NWS reported, but none of the documents provided by the NWS was submitted as a formal report.

Formal reports tended to address some or all of the following categories of information:

- 1) General assessments of developments and trends;
- 2) Information on national nuclear holdings and doctrines;
- 3) Descriptions of disarmament policies, initiatives, and programs;
- 4) Identification of advocacy and diplomatic priorities;
- 5) Information on agreements reached and commitments undertaken; and
- 6) Regular declarations of compliance.⁷

According to one participant's breakdown, of the 28 States parties that submitted formal reports in 2003, 10 focused exclusively on Article-VI-related issues (7 of these focused on the 13 Steps), 8 addressed the Treaty as a whole, and 10 addressed a variety of topics, generally highlighting specific areas of national concern or action and in some cases using the 1995 Principles and Objectives for Nuclear Non-Proliferation and Disarmament⁸ as the template for reporting.

The Roundtable background document identified the following major topics addressed by States parties in their 2002 and 2003 reporting:

- Status of the NPT regime
- Work of the Conference on Disarmament
- Strategic nuclear weapons reductions
- Tactical nuclear weapons reductions
- Comprehensive Test Ban Treaty and testing moratorium
- Fissile Material Control Treaty
- IAEA safeguards and Additional Protocols
- Export controls
- Reporting
- Nuclear weapons free zones
- Security assurances
- Peaceful uses of nuclear energy
- Verification
- Irreversibility
- Transparency

⁷ See pages 13-14 of the background document for explanations of these categories. These categories also were identified in Canada's 2003 working paper on reporting (NPT/CONF.2005/PC.II/WP.2: Reporting by States parties).

⁸ Decision 2 adopted at the 1995 Review and Extension Conference: *Principles and Objectives for Nuclear Non-Proliferation and Disarmament*. The major categories within the Principles and Objectives are: Universality, Non-proliferation, Nuclear disarmament, Nuclear-weapon-free zones, Security assurances, Safeguards, and Peaceful uses of nuclear energy.